

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Yi Sun et al.
Appl No.: 10/053,940
Filed: January 22, 2002
For: LIQUID CRYSTAL ADAPTIVE LENS WITH
CLOSED-LOOP ELECTRODES AND RELATED
FABRICATION METHODS AND CONTROL METHODS

Confirmation No.: 4873

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. §1.63 FOR SMALL ENTITY**

Sir:

In response to the Notice to File Missing Parts dated February 19, 2002, enclosed is a Declaration and Power of Attorney for the above-identified application that has been executed by the named inventors. Applicant claims small entity status.

A check in the amount of \$65.00 is enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,


Andrew T. Spence
Registration No. 45,699

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Charlotte Office (704) 444-1000
Fax Charlotte Office (704) 444-1111

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 26, 2002.



Sarah B. Simmons
CLTO1/4526520v1

MP \$
H3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Yi Sun et al.
 Appl No.: 10/053,940
 Filed: January 22, 2002
 For: LIQUID CRYSTAL ADAPTIVE LENS WITH
 CLOSED-LOOP ELECTRODES AND RELATED
 FABRICATION METHODS AND CONTROL METHODS

Confirmation No.: 4873



Box Missing Parts
 Commissioner for Patents
 Washington, DC 20231

**RESPONSE TO NOTICE TO
 FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated February 19, 2002, enclosed are the following:

- Part 2 of Formalities Letter or Form PTO-1533
 (Notice to File Missing Parts of Application)
- Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- Applicant claims small entity status
- Check in the amount of \$65.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,


 Andrew T. Spence

Registration No. 45,699

Customer No. 00826
ALSTON & BIRD LLP
 Bank of America Plaza
 101 South Tryon Street, Suite 4000
 Charlotte, NC 28280-4000
 Tel Charlotte Office (704) 444-1000
 Fax Charlotte Office (704) 444-1111

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on March 26, 2002.


 Sarah B. Simmons



UNITED STATES PATENT AND TRADEMARK OFFICE



Page 1 of 1

#3
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,940	01/22/2002	Yi Sun	38470/241807

CONFIRMATION NO. 4873

00826
ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

FORMALITIES LETTER



OC000000007494656

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

M-HAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2002 NM0HAMM1 00000069 10053940

01 FC:205

65.00 0P